

## Decomposition of Functional Relations Examples

### Closure Algorithm

**Example 1.** Consider a relation  $R(A, B, C, D, E, F)$  with asserted FDs

- |     |                          |
|-----|--------------------------|
| (1) | $A \rightarrow B, C$     |
| (2) | $F \rightarrow E$        |
| (3) | $B, F, E \rightarrow D$  |
| (4) | $A, D \rightarrow E$     |
| (5) | $C, E, \rightarrow D, F$ |

**Problem 1:** Find  $\{A\}^+$ .

**Solution.** We start with set  $X_0 = \{A\}$ .

The left side of FD (1) is  $A \subseteq X_0$ . We set  $X_1 = \{A\} \cup \{B, C\} = \{A, B, C\}$ .

The left sides of FDs (2),(3),(4),(5) are not proper subsets of  $X_1$ . Therefore,  $\{A\}^+ = X_1 = \{A, B, C\}$ .

**Problem 2:** Find  $\{A, D\}^+$ .

**Solution.** We start with set  $X_0 = \{A, D\}$ .

The left side of FD (1) is  $\{A\} \subseteq X_0$ . We set  $X_1 = \{A\} \cup \{B, C\} = \{A, B, C\}$ .

The left side of FD (4) is  $\{A, D\} \subseteq X_1$ . We set  $X_2 = X_1 \cup \{E\} = \{A, B, C, D, E\}$ .

The left side of FD (5) is  $\{C, E\} \subseteq X_2$ . We set  $X_3 = X_2 \cup \{D, F\} = \{A, B, C, D, E, F\}$ .

$X_3$  contains all attributes from  $R$ , therefore  $\{A, D\}^+ = X_3 = \{A, B, C, D, E, F\}$ .

### FD Projection Algorithm

**Example 2.** Consider a relation  $R(A, B, C, D, E, F)$  with asserted FDs

- |     |                          |
|-----|--------------------------|
| (1) | $A \rightarrow B, C$     |
| (2) | $F \rightarrow E$        |
| (3) | $B, F, E \rightarrow D$  |
| (4) | $A, D \rightarrow E$     |
| (5) | $C, E, \rightarrow D, F$ |

**Problem 1:** Find FDs asserted on  $R_1 = \pi_{A,B,C,D}(R)$

Solution. Start with  $S_0 = \emptyset$ . We consider all subsets of  $\{A, B, C, D\}$  in turn.

$\{A\}$ : left side of FD (1) is  $A$ . Right side of FD (1) is  $\{B, C\} \subseteq \{A, B, C, D\}$ .  
 $S_1 = S_0 \cup \{A \rightarrow B, C\}$ . No other FD can be matched.

$\{B\}$ . No FD has left side  $B$ .

$\{C\}$ . No FD has left side  $C$ .

$\{D\}$ . No FD has left side  $D$ .

$\{A, B\}$ . FD (1) qualifies, but we have already dealt with it. No new FDs emerge.

$\{A, C\}$ . No new FDs emerge.

$\{A, D\}$ . FD (4) has left side  $A, D$ . It's right side is  $\{E\} \cap \{A, B, C, D\} = \emptyset$ , hence no new FDs are added to  $S_1$ .

$\{B, C\}, \{B, D\}, \{C, D\}$ : no FDs emerge.

$\{A, B, C\}, \{B, C, D\}, \{A, C, D\}, \{A, B, D\}$ : no new FDs emerge.

Therefore, there is only one FD asserted on  $R_1$ :  $A \rightarrow B, C$ .

## BCNF Decomposition

**Example 3.** Consider a relation  $R(A, B, C, D, E, F)$  with asserted FDs

$$\begin{array}{l|l} (1) & A \rightarrow C, D \\ (2) & B \rightarrow E \\ (3) & A, E \rightarrow F \end{array}$$

Let us decompose  $R$  into BCNF.

Step 1. Establish all keys of  $R$ . Using FD-Closure algorithm, we can establish that  $A, B$  is the only key of  $R$ .

Step 2. Check if  $R$  is in BCNF. We observe that none of the FDs above satisfy BCNF condition, as neither  $A$ , nor  $B$ , nor  $A, E$  are superkeys.

Step 3. Decompose  $R$ . We pick FD (1).  $\{A\}^+ = \{A, C, D\}$ . We decompose  $R$  into  $R_1(A, C, D)$ , and  $R_2(A, B, E, F)$ .

Step 3. Decompose  $R_1$ . Using FD-Project we assert  $A \rightarrow C, D$  FD on  $R_1$ , and verify that  $A$  is a key.  $R_1$  is in BCNF.

Step 4. Decompose  $R_2$ . Using FD-Project we assert  $B \rightarrow E$  and  $A, E \rightarrow F$  on  $R_2$ .

Using FD-Closure we establish that  $A, B$  is the only key. Then FD (2) violates BCNF.

$\{B\}^+ = \{B, E\}$ . We decompose  $R_2$  into  $R_3(B, E)$  and  $R_4(A, B, F)$ .

Step 5. Decompose  $R_3$ . Using FD-Project we assert  $B \rightarrow E$  on  $R_3$ .  $B$  is the key, and  $R_3$  is in BCNF.

Step 6. Decompose  $R_4$ . Using FD-Project we assert  $A, B \rightarrow F$  on  $R_4$ .  $A, B$  is the key, and  $R$  is in BCNF.

Therefore, the decomposition of  $R(A, B, C, D, E, F)$  into a BCNF schema is:  $R_1(A, C, D)$ ,  $R_3(B, E)$ ,  $R_4(A, B, E)$ .

**Example 4. BCNF decomposition gone awry.** Consider a relation  $R(A, B, C)$  with the following FDs asserted:

$$\begin{array}{l|l} (1) & A \rightarrow B \\ (2) & B, C \rightarrow A \end{array}$$

Task: Decompose  $R$  into BCNF.

$R$  is NOT in BCNF.  $R$  has two keys:  $B, C$  and  $A, C$ . FD (1) violates BCNF

condition ( $A$  is not a superkey). BCNF decomposition yields two relations:

$R1(A, B)$   
 $R2(B, C)$

Both relations are in BCNF.

**Problem:** We assert  $A \rightarrow B$  on  $R1$ . However, FD (2) cannot be asserted on either of the tables. This may lead to the following problem.

Consider the following instances of  $R1$  and  $R2$ :

R1:	
A	B
a	b
b	b

R2:	
B	C
b	a
b	d

Let us compute  $R1 \bowtie R2$ :

$R1 \bowtie R2$ :		
A	B	C
a	b	a
a	b	d
b	b	a
b	b	d

We note the the FD  $A \rightarrow B$  holds on  $R1 \bowtie R2$ . However, the FD  $B, C \rightarrow A$  **does not**. Indeed, tuples (a,b,a) and (b,b,a) agree on values of  $B, C$  but NOT on values of  $A$ .

We conclude that the BCNF decomposition of  $R$  **does not preserve all functional dependencies**.

**Example 5. BCNF decomposition gone awry part 2.** Consider the relation  $Schedule(\text{Classroom, Day, Course, Time, Instructor})$  with with the following FDs asserted:

- (1)  $\text{Classroom, Day, Time} \rightarrow \text{Instructor, Course}$
- (2)  $\text{Instructor, Course} \rightarrow \text{Classroom, Time}$

In previous examples we learned that this relation has two keys:  $\text{Classroom, Day, Time}$ , and  $\text{Instructor, Course, Day}$ .

Because every attribute of this table is *prime*,  $Schedule$  is in 3NF.

Because the left side of the FD (2) does not contain a key,  $Schedule$  is NOT in BCNF.

A BCNF decomposition would yield two tables:

$Schedule1(\text{Instructor, Course, Classroom, Time})$   
 $Schedule2(\text{Instructor, Course, Day})$

FD (2) is preserved on table  $Schedule1$ .

Because the left side of FD (1) is not found in any table, FD (1) "disappears." Consider the following instances of the tables  $Schedule1$  and  $Schedule2$ .

Schedule1:			
Instructor	Course	Classroom	Time
Dekhlyar	CSC 366	14-253	12:10
Dekhlyar	CSC 468	14-252	12:10

Schedule2:		
Instructor	Course	Day
Dekhlyar	CSC 366	T
Dekhlyar	CSC 366	R
Dekhlyar	CSC 468	T
Dekhlyar	CSC 468	R

This combination of relational tables is consistent, as no FDs are violated. However,  $Schedule1 \bowtie Schedule2$  yields:

Schedule1:

Instructor	Course	Classroom	Time	Day
Dekhtyar	CSC 366	14-253	12:10	T
Dekhtyar	CSC 366	14-253	12:10	R
Dekhtyar	CSC 468	14-252	12:10	T
Dekhtyar	CSC 468	14-252	12:10	R

This table violates FD (1) from above.

## 3NF Decomposition

**Example 6. 3NF Decomposition.** Consider a relational table  $R(A, B, C, D, E, F)$  with the following FDs asserted:

$A \rightarrow B$

$A \rightarrow C$

$C \rightarrow D$

$D \rightarrow F$

$E, B \rightarrow F$

First, let us note that the set of FDs above forms a minimal basis. (try removing any FD, and deriving it from the rest).

Second, we note that  $R$  is not in 3NF, due to the presence of transitive FDs.

We use 3NF-Decompose algorithm to create the following tables:

$R_1(A, B)$

$R_2(A, C)$

$R_3(C, D)$

$R_4(D, F)$

$R_5(B, E, F)$

$R_6(A, E)$

Note, that each table  $R_1 - R_6$  is in 3NF, and each FD from above is preserved in at least one table.

Note, also, that this is not the most concise decomposition of  $R$ . In fact, we can combine  $R_1$  and  $R_2$  into a single relation  $R_{12}(A, B, C)$ .

Finally, we note that  $R_6$  has been created because none of  $R_1 - R_5$  contained a full key of  $R$ .