

Database Management Systems, ACID Properties, and their Implications

Overview

- **Database: organized collection of data**
- **Database Management System (DBMS):** a software system that controls the organization, storage, retrieval, security and integrity of data in a database and across multiple databases.
- **Database Management System Pipeline:**
 1. Accept query from user
 2. Process query
 3. Output result

Step 2 - *core* of DBMS.
- **Query Processing Pipeline.** Top-to-bottom.
 1. Parse SQL into relational algebra tree
 2. Figure out the best order of (atomic) operations
 3. Figure out the best way to perform each operation
 4. Execute each operation
- **Query Execution.** Each atomic operation have multiple ways of being executed, based on:
 1. Size of tables to operate on
 2. Availability/desire to use indexes
 3. Need/desire to sort data
 4. Algorithmic approach (e.g., sorting vs. hashing)

Each operation works with data brought to disk. Concerns:

1. Getting data from disk into main memory and back.

2. Getting more than one query/data management operation executed at the same time.

This is where we come to **transactions**.

Transaction (externally) Execution of any user program by a DBMS.

Transaction (internally) A series of **reads** and **writes**.

Informally, a **transaction** is a sequence (set) operations on the database that serves a single purpose and yields a single outcome.

Concurrency in DBMS: Modern DBMS **must** be able to process **multiple transactions at the same time**.

To do this successfully, DBMS must have certain properties.

ACID Properties

(A)tomicity: The DBMS executes either *all* actions of the transaction or *none*. Incomplete transactions should not result in permanent changes in the database.

(C)onsistency: Each transaction run by itself must preserve the *consistency* of the database.

(I)solation: Each transaction should be protected from effects of other transactions being executed alongside.

For each pair of transactions T_i and T_j , it appears to T_i that either T_j has finished execution before T_i started, or that T_j has not commenced yet.

(D)urability: Once the transaction has successfully completed, its effects must become *permanent* in the database and shall be able to survive system crashes.

Why Atomicity? Transactions are defined as sequences of actions in pursuit of a single goal. As a result of executing the transaction, either the goal is reached and *all* effects of *all* actions from the transaction must take hold, or *the goal has been abandoned and then no results of any actions should survive*.

Why Consistency? This question lies at the core of our course. The short argument for *consistency* is this. Writing software that works with consistent-state databases (as defined by the requirements of the specific application) is easier than writing software that is not allowed to make this assumption. Inconsistent database states that exist for short periods of time might be benign, but any actions performed on the data while the database is in an inconsistent state are *dangerous*.

Why Isolation? Nothing will screw with the data in the database more than simultaneous changes to the same data from multiple not yet completed transactions. Further actions in such transactions may wind up with incorrect assumptions about the stored data, and may be unable to produce correct actions.

Why Durability? We need to make sure that changes to the database state persist once the process of making these changes succeeds.

Effect of ACID Properties on DBMS Design.

What do we need to do to ensure that a DBMS has ACID properties?

For Atomicity. To ensure atomicity DBMS must:

- know all actions in each transaction;
- have a *roll-back* mechanism to undo partial effects of *aborted* transactions.

For Consistency. To ensure consistency DBMS must:

- ensure that all transactions access the *correct* data at all times;

Ensuring consistency is essentially the DB application's job.

For Isolation. To ensure isolation DBMS must:

- have a mechanism for correctly interleaving actions from multiple transactions (concurrency control mechanism);
- ensure that all transactions access the *correct* data at all times;

For Durability. To ensure durability DBMS must:

- have access to persistent storage, i.e., storage that does not get emptied when the DBMS is powered down;
- carefully and appropriately manage exchange of data between persistent storage and volatile storage (RAM);
- be able to recover from systemwide crashes.

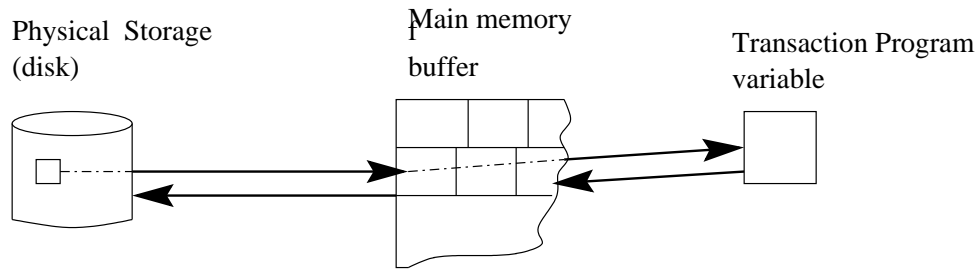


Figure 1: Data Flow in a Database Management System.

Satisfying ACID Properties: Relational DBMS Organization

- **Read database access** (see Fig. 1)
 - Data is stored in *records* on *pages* on *physical storage* devices (e.g., hard disks).
 - Pages are retrieved from physical storage and loaded into *main memory buffer*.
 - Records are retrieved from *pages* in *main memory buffer* and passed to the *transaction program* where they are stored as *variables*.
- **Write database access** (see Fig. 1)
 - Data stored in the variables of the *transaction program* is written into a *page* in *main memory buffer*
 - Pages of *main memory buffer* are written to *physical storage*.