Query Processing: Cost-based Query Optimization

Physical Query Plan Optimization

Logical query rewriting can produce one *(or more candidate)* query plan(s). Physical query plan optimization stage involves the following operations:

- Selection of the order and grouping in which associative-and-commutative operations are to be executed.
- Selection of the appropriate algorithm for each logical query plan operator.
- Insertion of additional operations: scans, sorts, etc., needed for faster performance.
- Selection of the means of passing results of one operation to the next operation: through main memory buffer, through temporary disk storage or via tuple-at-a-time iterators.

Basics of Cost Estimation

In order to be able to tell, which query plans are better, we need to be able to predict/estimate the I/O costs of the plans. The I/O costs depend on two things:

- the specific chosen to execute each operation;
- (estimated) sizes of all intermediate results.

We know that given the sizes of the input relations, we can estimate the I/O costs of each execution algorithm. We, thus, would like to have an approach to estimation of sizes of intermediate results which has the following properties:

1. It yields accurate estimates (Accuracy);

2. It is easy to compute (Efficiency);
3. It is logically consitent: the estimates do not depend on *how* the intermediate result was computed, only on *what* the intermediate result looks like (Consistency)

Size estimation is a heuristic process. Some standard apporaches are described below.

Projection

Let R be a relation, and consider the operation $\pi_L(R)$.

Projection operation does not remove tuples from the relation. However, the size of each tuple shrinks [1].

Let m be the size of a tuple in R, and m' be the size of the tuple in $\pi_L(R)$. m' can be computed in a straightforward manner from m, knowing the schema of R.

Then, $B(\pi_L(R))$ can be estimated as follows:

$$B(\pi_L(R)) = \frac{m'}{m} B(R).$$

Selection

Case 1: $\sigma_{A=c}(R)$.

This operation will select only the tuples in R for which the value of attribute A is c. There are $V(R, A)$ different values of the attribute A in R, so, we can estimate the number of tuples in the result as

$$T(\sigma_{A=c}(R)) = \frac{T(R)}{V(R, A)}.$$

Case 2: $\sigma_{A<c}(R)$. (or any other inequality)

Standard estimation technique is

$$T(\sigma_{A<c}(R) = \frac{T(R)}{3}.$$

Another possible solution is as follows. Let $V_<(R, A, c)$ be the number of unique values of A in R that are less than c. In this case, we can estimate

$$T(\sigma_{A<c}(R) = \frac{T(R) \cdot V_<(R, A, c)}{V(R, A)}.$$

Case 3: $\sigma_{A\neq c}(R)$.

Standard estimate, applicable when $V(R, A)$ is very large is

[1] A more general version of projection operation also may allow for increase in size of the tuple, but such increases can also be predicted fairly well.

$$T(\sigma_{A\neq c}(R)) = T(R).$$

If $V(R, A)$ is not large, while $T(R)$ is large, the following estimate may be better:

$$T(\sigma_{A\neq c}(R)) = T(R) \cdot \frac{V(R, A) - 1}{V(R, A)}.$$

Case 4 $\sigma_{C_1 \mathsf{AND} C_2}(R)$.

Treat this as $\sigma_{C_1}(\sigma_{C_2}(R))$, and cascade the estimates.

Case 4 $\sigma_{C_1 \mathsf{OR} C_2}(R)$.

We know that

$$\max(T(\sigma_{C_1}(R)), T(\sigma(C_2)(R))) \leq T(\sigma_{C_1 \mathsf{OR} C_2}(R)) \leq T(\sigma_{C_1}(R)) + T(\sigma(C_2)(R)).$$

The left-hand-side estimate corresponds to *positive correlation* assumption, which states that one condition subsumes the other completely. The right-hand-side estimate corresponds to the *negative correlation*/*mutual exclusion* assumption, which states that no tuple can satisfy both conditions at the same time.

We can also construct an estimate for an *independence assumption*:

$$T(\sigma_{C_1 \mathsf{OR} C_2}(R)) = T(R)(1 - (1 - \frac{1}{V(R, A)})^2).$$

If we have better estimates m_1 and m_2 for $\sigma_{C_1}(R)$ and $\sigma_{C_2}(R)$, this becomes:

$$T(\sigma_{C_1 \mathsf{OR} C_2}(R)) = T(R)(1 - (1 - \frac{m_1}{T(R)})(1 - \frac{m_2}{T(R)})).$$

Union

For bag union $T(R \cup_{bag} S) = T(R) + T(S)$.

For set union, we have

$$\max(T(R), T(S)) \leq T(R \cup S) \leq T(R) + T(S).$$

A possible estimate is the mid-point:

$$T(R \cup S) = \max(T(R), T(S)) + \frac{T(R) + T(S)}{2}.$$

Intersection

$$0 \leq T(R \cap S) \leq \min(T(R), T(S)).$$

One possible estimate is

$$T(R \cap S) = \frac{\min(T(R), T(S)}{2}.$$

Another possibility is use formulas for natural join, as $R \cap S = R \bowtie S$. (joins will be discussed later).

Difference

$$T(R) \leq T(R - S) \leq \max(T(R) - T(S), 0).$$

A possible estimate is

$$T(R - S) = \max(0, T(R) - \frac{1}{2}T(S)).$$

Duplicate Elimination

Generally speaking

$$T(\delta(R)) = V(R, (A_1, \ldots, A_n),$$

if R's schema is $R(A_1, \ldots, A_n)$. However, this information may not be immediately available.

One possible estimate (when $T(R)$ is very large) is

$$T(\delta(R)) = \Pi_{i=1} n V(R, A_i),$$

i.e., the number of theoretically possible distinct tuples.

We can also use the rule

$$T(\delta(R)) = \min(0.5 \cdot T(R), \Pi_{i=1} n V(R, A_i)).$$

Grouping and Aggregatioon

Let $L = (G_1, \ldots, G_k)$. If we know $V(R, (G_1, \ldots, G_k)$, then

$$T(\gamma_L(R) = V(R, (G_1, \ldots, G_k)).$$

Otherwise, we may estimate the number similarly to the case of duplicate elimination:

$$T(\gamma_L(R)) = \Pi_{i=1} k V(R, G_i),$$

i.e., the number of theoretically possible distinct tuples.

We can also use the rule

$$T(\gamma_L(R)) = \min(0.5 \cdot T(R), \Pi_{i=1} k V(R, G_i)).$$